
OIG 2006 WORK PLAN

Presented to the Board of Directors of the
Legal Services Corporation
January 28, 2006

STAKEHOLDER INPUT

- ❑ LSC Board
- ❑ Congress
- ❑ LSC Management
- ❑ OIG Staff

ASSESSING RISKS

- ❑ Legal Requirements
- ❑ Grantee Compliance
- ❑ Board/Congressional Interest
- ❑ Fraud, Waste or Abuse

ASSESSING RISKS (Cont.)

- ❑ Public Interest
- ❑ Potential Savings
- ❑ Time Sensitive
- ❑ Economy/Efficiency/Effectiveness

OTHER CONSIDERATIONS

- ❑ Available OIG Staff
- ❑ Costs
- ❑ Length of Time to Complete Project
- ❑ Can Work Be Deferred

METHODOLOGY

- ❑ Based on FY 2006 Appropriation
- ❑ Activity Based Costing Approach
- ❑ Plan Subject to Modification Based on Congressional, Board or Management Request for Other Work

WORK IN PROGRESS

- ❑ Investigation of a Grantee Compliance with LSC Act and Restrictions
- ❑ Board and Officer Travel
- ❑ Office of Program Performance Audit
- ❑ Office of Information Management Audit
- ❑ OIG Participation in Oregon Discovery

WORK IN FINAL STAGES

- ❑ Office of Compliance and Enforcement Audit
- ❑ Tenant Improvement Allowance Audit
- ❑ LSC Space Needs Audit
- ❑ Mapping Project

2006 PLANNED WORK

- ❑ Comparison of 1996 Restrictions with Current LSC Practices (Time permitting)
- ❑ Comprehensive Report on Overall Effectiveness of LSC's Oversight of Grantees
- ❑ Develop OIG Strategic Plan

2006 PLANNED WORK (Cont.)

- ❑ Compliance Work Mandated by Congress
 - Review of IPA reports
 - Oversight of IPAs
- ❑ On-site Monitoring of Grantees
- ❑ Revision of Audit Guide and Compliance Supplement

2006 PLANNED WORK (Cont.)

- ❑ Investigations
- ❑ Review of LSC Regulations and Policies
- ❑ Oversight of LSC Financial Statement
- ❑ Issuance of Semiannual Reports to Congress

DEFERRED WORK UNTIL 2007 or BEYOND

- ❑ Applicability of Sarbanes-Oxley Concepts to LSC
- ❑ Review LSC Resource Management Systems
- ❑ Review LSC Human Capital Systems

DEFERRED WORK UNTIL 2007 or BEYOND (Cont.)

- ❑ Office of Information Technology
- ❑ Intensive Review of IPAs
- ❑ Develop Performance Measures to assess effectiveness of LSC and grantee operations in accordance with OMB's Program Assessment Rating Tool